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*Admitted only in Maryland
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Federal Agencies

June 16, 2004

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Art Unit 1623

Re: U.S. Utility Patent Application
Application No. 10/758,267; Filed: January 16, 2004
For: **Methods for the Preparation of Polyol Esters that are Light in Color**
Inventor: George B. Poppe
Our Ref: 1533.6910000/PAJ/BLS

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;
2. Listing of the cited documents on Form PTO-1449 (2 sheets);
3. A copy of the five (5) non-patent documents cited on Form PTO-1449; and
4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Bryan L. Skelton
Agent for Applicant
Registration No. 50,893

PAJ/BLS/cjs
Enclosures

SKGF_267705.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

George B. Poppe

Appl. No.: 10/758,267

Filed: January 16, 2004

For: **Methods for the Preparation of
Polyol Esters that are Light in
Color**

Confirmation No.: 5132

Art Unit: 1623

Examiner: *To be assigned*

Atty. Docket: 1533.6910000/PAJ/BLS

Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§1.56, 1.97 and 1.98. A copy of each document is also provided.

A copy of Document **AA1** is not provided in accordance with the U.S. Patent and Trademark Office OG notice of August 5, 2003, which states "[t]he Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003."

Document **AS1**, Celades, R., and Paquot, C., "Preparation des Esters de Polyoxyéthylèneglycols par Glycolyse," in Chemistry, Physics and Application of Surface Active Substances, pp.249-255 (1967) is in the French language. The relevance of document **AS1** can be ascertained by reference to the English language summary found on page 249.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached PTO-1449 based on

information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

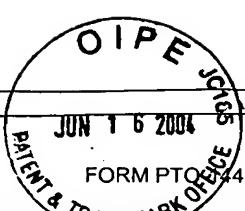
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Bryan L. Skelton
Agent for Applicants
Registration No. 50,893

Date: JUNE 16, 2004

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JUN 16 2004 FORM PTO-449 INFORMATION DISCLOSURE STATEMENT			ATTY. DOCKET NO. 1533.6910000/PAJ/BLS	APPLICATION NO. 10/758,267
			FIRST NAMED INVENTOR Poppe, G.B.	
			FILING DATE January 16, 2004	ART UNIT 1623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	3,669,848	06/13/1972	Seiden			12/19/1969
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	"Color: Lovibond Method Using Color Glasses Calibrated in Accordance with the Lovibond Tintometer Color Scale, Sampling and Analysis of Commercial Fats and Oils, American Oil Chemists' Society Official Method Cc 13e-92, Revised 2002," in <i>Official Methods and Recommended Practices of the AOCS</i> , 5th ed., Firestone, D., et al., eds., American Oil Chemists' Society, Champaign, IL, pp. 1-2 (1998)
AS	1	Celades, R., and Paquot, C., "Preparation des Esters de Polyoxyéthylèneglycols par Glycolyse," in <i>Chemistry, Physics and Application of Surface Active Substances</i> , Proceedings of the IVth Int'l Congress on Surface Active Substances, Vol. I., Asinger, F., ed., Gordon and Breach Science Publishers, New York, NY, pp. 249-255 (1967)
AT	1	Gupta, M., "Manufacturing Processes for Emulsifiers" in <i>Bailey's Industrial Oil and Fat Products</i> , Ch. 11, Vol. 4, 5th ed., Hui, Y.H., ed., John Wiley & Sons, Inc., New York, NY, pp. 569-601 (1996)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



ATTY. DOCKET NO. 1533.6910000/PAJ/BLS	APPLICATION NO. 10/758,267
FIRST NAMED INVENTOR Poppe, G.B.	
FILING DATE January 16, 2004	ART UNIT 1623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL						Yes No
AM						Yes No
AN						Yes No
AO						Yes No
AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	2	Jiratumnukul, N., and Van De Mark, M.R., "Preparation of Glycol Esters of Soybean Oil Fatty Acids and Their Potential as Coalescent Aids in Paint Formulations," JAOCS 77:691-697, AOCS Press (2000)
AS	2	Sonntag, N.O.V., "Fat Splitting, Esterification, and Interesterification" in <i>Bailey's Industrial Oil and Fat Products</i> , Vol. 2, 4th ed., Swern, D., ed., John Wiley & Sons, Inc., New York, NY, pp. 97-173 (1982)
AT		

EXAMINER

DATE CONSIDERED

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